

Guide for Registered Training Organisations.

Government Funded VET Activity -Tasmania.

Detailed Reporting and Funding Allocation Guide

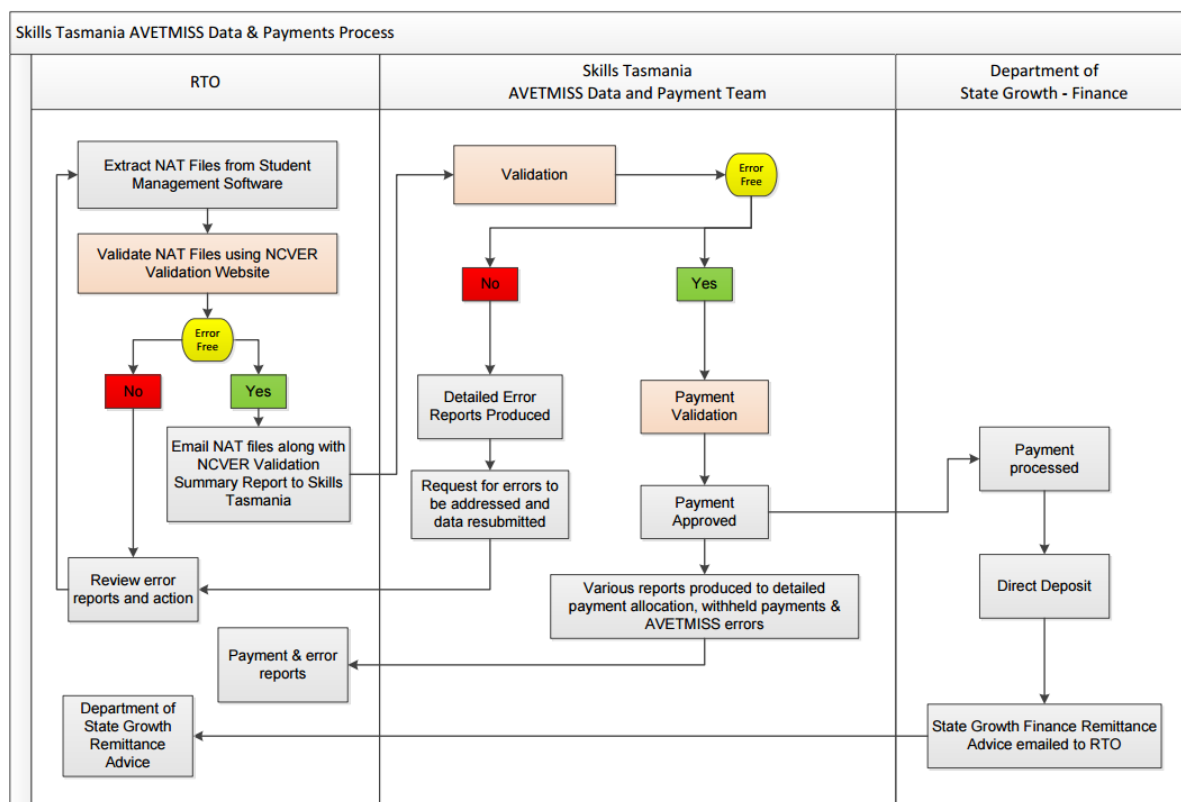
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Skills Tasmania Vocational Education and Training (VET) Data and Payments Process

This flowchart illustrates the process for VET Data submission and funding allocation:



VET Data submission - Processing Steps

1. Registered Training Organisation (RTO) extracts NAT files from Student Management System (SMS).
2. RTO uploads NAT files to National Centre for Vocational Education Research (NCVER) AVETMISS Validation Software (AVS) and correct any resulting errors.
3. Once error free, RTO emails set of 10 error free Nat files to avetmiss@skills.tas.gov.au with email subject to include **RTO ID, RTO Name**.
4. Skills Tasmania processes files in receipt order.
5. Skills Tasmania validates data against various information and produces a range of reports.
6. If resulting errors need correction prior to processing payment, reports will be returned to the RTO for correction.
7. RTO must attend to all errors by correcting information in their SMS. Once done, follow steps 1, 2 and 3.
8. Skills Tasmania carries out steps 4, 5 again.
9. Assuming errors have been corrected, data will be processed for funding allocation.
10. Data will be validated against Purchasing Contract specifications and Business Rules.
11. Payment will be forwarded for approval (assuming no significant errors are present).
12. Once approved, payment will be generated by Department of State Growth and a remittance advice will be forwarded to RTO.
13. Skills Tasmania will forward detailed reports outlining summary and breakdown of data reported, payment applied and payments withheld.
14. RTO should review all reports and address any errors highlighted in the email prior to the next submission.



Guide to reporting funded VET activity in Tasmania

AVETMISS

Essential Resource: AVETMISS Data element definitions (available online) Make sure you have the current version of this documentation.

Data reported to Skills Tasmania must meet AVETMISS Standards and RTOs are responsible for understanding the definitions of AVETMISS Data fields in relation to what is reported.

To gain a better understanding of AVETMISS data search online and download the 'AVETMISS Data element definitions'.

It is the RTOs responsibility to understand what is reported in data.

Tasmania Specific

Tasmania has specific reporting requirements for funded training activity. The specific field information below allows funding to be allocated correctly.

Reporting funded training activity to Skills Tasmania

- **State Funding Source**

Tasmania uses a range of State funding source codes specific to the type of funding being reported. These codes map to National funding codes and PCID types and are outlined in [State Funding Source Codes](#)

- **Purchasing Contract Identifier (PCID)**

This is a reference number allocated to a Funding Agreement Schedule. Reporting the PCID is required to trigger funding allocation. The PCID has details of the individual funding specifications. These are essential for reporting purposes.

- **Trainee ID and Training Contract Registration Number (Apprentices and Trainees)**

These numbers identify a student's training contract registration number and the student's unique ID in eVET. These numbers are required for some funding types (Apprentice and Trainee Training Fund) to trigger funding allocation.

- **eVET**

eVET is an external information portal provided by Skills Tasmania to allow the exchange of information between Skills Tasmania and Apprenticeship Network Providers (ANPs), Group Training Organisations (GTOs), registered training organisations (RTOs) and schools. Training Contract information can be viewed on-line or downloaded into a database or spreadsheet. You can find out more information about eVET by emailing evet@skills.tas.gov.au

- **TAPIS is the Training Contract database.**



Data Entry

All staff responsible for entering information into the SMS will need access to your RTO's Skills Tasmania Grant Deed.

Information in the Agreement includes the PCID, qualification/MUSS or skill set units, reporting dates and number of places. These details connect data and allows relevant funding allocation.

Staff should also have access to Training Contract information through eVET, which provides information relating to students who have a Training Contract.

Terms and conditions of the Grant Deed and Agreed Budget are essential for staff to understand, along with the AVETMISS reporting standards.



AVETMISS

All AVETMISS information and specifications can be found on the NCVER website. Select 'support > AVETMISS' <https://www.ncver.edu.au/>

VET Activity Data – Non Skills Tasmania reporting requirements

RTOs delivering training in Tasmania are also required to submit quarterly to the **Office of Tasmanian Assessment, Standards and Certification (TASC)**.

TASC and Skills Tasmania are two different organisations which both require data on a quarterly basis.



TASC reporting requirements

<https://www.tasc.tas.gov.au/providers/rto-reporting/>

Reporting Timeframe - Quarterly Reporting – Compulsory

When data is reported to Skills Tasmania, funding will be allocated to any new training activity or results. RTOs must report monthly or quarterly in order to maximise funding allocation and also to keep a check on data errors. By submitting monthly, the amount of errors will be easier to manage than if submitted only for the compulsory quarterly reporting.

The minimum reporting frequency is quarterly, the dates are below:

Quarterly Reporting dates

- 1st quarter due 15 April
- 2nd quarter due 15 July
- 3rd quarter due 15 October
- 4th quarter due 15 January (*FINALS reporting for current data collection year*)

Skills Tasmania is responsible for submitting the data it receives to NCVER at quarterly intervals. This fulfils RTOs responsibilities in relation to reporting to NCVER.

Quarterly Reporting Process suggestion

When validating submissions in a quarterly period through AVS, use the extended period rather than the quarterly reporting date option. (i.e. for July – select January to September in AVS validation). End of year submissions remain unchanged.

End of year Data reporting

Submissions for end of year data reporting are due by 15 January. It is very important NOT to enter any New Year data in your SMS until after the final data submission is accepted by Skills Tasmania.

Detailed reports will be provided based on the data submitted. Checking all error and payment reports generated from your data is vital, particularly for end of year reporting. Any funded activity that is incorrectly reported at the end of the year is likely to have negative financial implications. These are usually unable to be resolved once the final data is accepted. Please see the section on payment reports in this document for more information.

Once end of year data has been processed and is error free, the final payment will be applied. Along with payment reports, you will be sent a declaration form to sign and return to Skills Tasmania. This declaration confirms that you accept the submission to be your final for the year. Once signed and returned, no changes can be made to your data for that year.

Skills Tasmania data reports

Produced during processing step #5, page 4.

TApIS Error Report

Validation compares

RTO NAT File Data		TApIS data
Trainee ID and Training Contract Registration Number	↔	Trainee ID and Training Contract Registration Number
Student Details		Student Details

The details on eVET are from TApIS, the Training Contract database. The student name and date of birth reported in VET data must match details on the Training Contract. When an error is present on this report, payment will not be made against any units for the student.

With the introduction of the Unique Student Identifier (USI), there is a requirement that USI details are consistent with what is reported in the first instance.

How to correct this error:

1. Double check source of information used to create USI
2. Review student information in your SMS and update if any errors are found
3. If your SMS and USI information are correct, TApIS will need to be updated. To do this, forward proof of ID (such as drivers' licence) and explanation to your Apprenticeship Network Provider (ANP) or Skills Tasmania, Training Agreements email trainingagreements@skills.tas.gov.au
4. If the USI information is incorrect, information on how to update can be found here: <https://www.usi.gov.au/faqs/how-do-i-update-my-account-details>

NAT Check Report

Validation includes:

- State reporting requirements;
- eVET information;
- PCID;
- Funding codes, qualifications and MUSS
- RTO information; and
- Start and end dates of training activity.

Errors listed on the NatCheck report must be corrected where instructed. If there are instances where an error can't be corrected, please provide an explanation when the files are resubmitted. Errors that cannot be corrected will continue to appear on error reports and may result in payments being withheld.

Warnings listed are for information only, however, they should be reviewed. If a warning does require action, it will be noted in the description area of the report.

NatCheck - Common Errors

Here are a few tips on avoiding the most common NatCheck errors.

Topic	How to avoid it
State and National Funding Source errors	Check the 'State Funding Source Codes' document on the Skills Tasmania Website. This lists which State and National Funding Source to use for different funding types. https://www.skills.tas.gov.au/funding/paymentandreporting
Unit dates	When reporting a unit with an outcome of '70-Continuing', the end date needs to be in the future. To avoid errors on the NatCheck report, put the end date at the end of the year. The end date will be updated when an outcome is reached.
Invalid Training Contract details	The training contract details are available through accessing eVET. https://evet.stategrowth.tas.gov.au/runtime/runtime/Form/eVET.FRM.eVETPortal
Skill sets	<ul style="list-style-type: none">• Recognised Skill Set and MUSS - Include qualification code.• Single Unit Skill Set - exclude qualification code.

Missing Continuing Clients Report

The Missing Continuing Clients Report relates to data between two reporting years.

1. All units with an end date in the **current or future reporting years** are extracted from the SMS.
2. When extracting NAT files from the SMS, they are extracted for the reporting year only. That means, any units with an end date in the previous year do not get exported.
3. If units are visible in the SMS, but are listed on the missing continuing report, it is because the unit end date has been changed in the SMS **after** the final data submission for the previous year and has been given an end date in the previous year (backdated).

In FINAL data reporting for the year, when units are reported as continuing into the next year, it is expected that in the next reporting year, the unit will still be in the data. For example:

Student Name	Qual	Unit	Start Date	End date	Outcome
Amanda Smith	ACM40412	ACMSPE312A	14/10/2017	20/03/2018	70

When NAT file data is extracted from the SMS, it is for one year (the current year). If, for any reason, in 2018 the end date of Amanda's unit is changed to 2017, the unit will no longer be reported.

For instance, in April 2018, an assessment for Amanda's unit was found to have been completed on 12 December 2017. This has to be updated in the SMS. (as below)

Student Name	Qual	Unit	Start Date	End date	Outcome
Amanda Smith	ACM40412	ACMSPE312A	14/10/2017	12/12/2017	20

This is a legitimate scenario but there are implications. Any funding that has been applied for this unit will be reversed. The record will show up on the Withheld Payment Report as having been removed from data and will also be listed on other payment reports. It will also show up on 'Completed qualification – payment pending' report if/when the qualification is reported as completed, as there will be one unit less than required. In this instance the 20% completion payment will not be allocated.

To avoid these scenarios from occurring, ensure that all records are up to date, all assessments, completions and withdrawn units are recorded appropriately in the final end of year reporting submission.

Missing Trainees Report

This report relates to students with a Training Contract. Details on this list indicate one of two things:

1. The student is yet to undertake training activity and therefore hasn't been reported (not an error – this is for your information)
2. The student is reported as having undertaken training activity, but the Trainee ID and Training Contract Registration Number aren't included against the student's records.
3. The student was reported in the previous year as completed, but the training contract wasn't registered as completed until the current year (this instance can be ignored).

If the Trainee ID and Training Contract registration number are unknown, you can look them up through the eVET portal.



eVET

<https://evet.stategrowth.tas.gov.au/runtime/runtime/Form/eVET.FRM.eVETPortal>

Missing USI Report - Unique Student Identifier (USI)

RTOs must report a valid USI. Funding will only be applied to students who are reported with a valid USI. RTO is to liaise with student to create a USI or obtain current USI from student.

Data validation to payment processing

Once data has been validated as error free it then progresses to payment processing.

Funding Allocation for VET Activity

Payment Validation

Data is validated against PCID specifications and Business Rules.

RTO staff involved in administering the RTO's SMS and/or data entry should have access to the following documentation:

- Their Skills Tasmania Grant Deed and Agreed Budget
- Any specific eligibility criteria
- Registered Training Organisation (RTO) Grant Recipient Standard Conditions Manual

The Agreed Budget, which is returned to the RTO, includes the PCID/s to be used in data reporting and the start/end date of the Grant Deed.

RTOs are reminded that the 1st reported training activity start date for the student must be within the Grant Deed and Agreed Budget commencement and end dates.

Students should continue to be reported against the same PCID (i.e. trainee commences training in 2018; a 2018 PCID is used) unless there is a change or transition of qualification and in the case of the Apprentice and Trainee Training Fund where the training contract is cancelled and training recommenced.

Funding allocation process

The 50% First Training Payment applies at the unit level and is payable when commencement of training activity for a unit is reported. This policy applies to all types of Grant Deeds.

The 50% First Training Payment policy for withdrawn outcomes continues and may be reviewed at any time. Skills Tasmania will notify all RTOs of any changes.

Funding is allocated to training activity that meets Grant Deed and Agreed Budget specifications. Data reported in NAT files will trigger funding allocation when all conditions are valid. The Purchasing Contract will contain information for each approved PCID. The Agreed Budget will include important information required to allocate funds, such as;

- Qualification / Skill Sets / Multi-unit Skill Sets (MUSS)
- Total funded places
- Subsidy amount
- PCID – essential for funding allocation via data reported
- *Training can commence from* The commencement date is the date training delivery can commence on or after
- *Training must have commenced by* This is the date when training MUST have commenced by.
- *Date for completion of the approved purpose:* This is the date that funding for the agreed budget expires.

Purchasing / Funding Validation and Reports

Client Matching

Client matching checks existing student details against newly submitted data and matches if details are similar. Minimum student details must include:

- First Name
- Middle Name
- Surname
- Date of Birth
- Gender
- USI
- Mobile phone number
- Street address
- Email address.

Payment reports

All reports should be thoroughly reviewed when they are provided, particularly for the final submission of a year.

Payment processing timelines in a New Year

Skills Tasmania validates and then combines all RTO data at start of each year, to generate the final data submission for the previous year. Once all errors are corrected, the entire data set is forwarded to NCVER. Due to the volume of data processed at end of year reporting, payments for normal monthly data submissions will not resume until late February/early March. An email will be sent to all RTOs when regular processing recommences and files can be submitted.

Payment Summary Report

This report contains two separate worksheets:

- Sheet 1: shows payment per PCID and total payment,
- Sheet 2 and Sheet 3: are variable. If there is funding that hasn't been claimed against in the current year, this will be shown on sheet 2, with an extra sheet showing payment per student and total payment per PCID. This report is also useful to check which qualifications (including MUSS) have been reported as completed. It details any variations that have been applied to the full qualification/ MUSS amount, how much has been paid to date and when the last payment date was made. This report used in conjunction with the payment detailed report will give a thorough picture of activity reported and funded.

If all funding for the current year is actively being claimed, Sheet 2 will show payments per student.

Payment Detail Report

This report details payment per unit and total payments for individual students, listed in PCID order. The report also includes information on withheld payments and variations. To the right of the report table, highlighted in yellow, is extra information relating to reporting. Any units listed with '*' beside it (MSS405004A*) indicates that the data was in a previous year's submission. These entries cannot be changed. Sometimes the data in your SMS will not be the same as the data on payment report; this occurs when information is changed in your SMS **after** the confirmed end of year data submission.

Withheld Payments Report

This report details occurrences where funding has been withheld. Funding can be withheld for various reasons and will be detailed in the report. Entries on this report include units against all active PCIDs. This means that some entries on the report will have an end date in previous years. It is important to note that entries on the report for previous years are unable to be amended because your SMS no longer exports those units with end dates in previous years and the Skills Tasmania dataset is static and not editable for previous years' data.

RTOs are advised to review all entries and amend information, where possible, before the next submission. Skills Tasmania reserves the right to request evidence supporting all reported outcomes.

Complete Qualification – Payments Pending Report

This report is generated when a completion has been reported with insufficient units to satisfy the qualification requirement. A common reason for this is when credit transfers aren't reported or are reported with dates in a previous year. Change credit transfer dates to the current year.

If you receive this report, please review the details. If no changes can be made, please provide information on your next submission so the entries can be removed from the report.

A qualification completion can be reported for the previous year.

Variations

Adjustment to payments will be made under certain circumstances and are referred to on reports as variations. There are many reasons why a variation may be applied.

Variation - Exceed client cap

Where the nominal hours reported for a student are greater than the funded nominal hours a negative variation will be made to reduce the calculated payment down to the total funded price, less any Credit Transfer units reported (adjusted client cap).

Payment is reduced to 80% of the cap until the student has been reported as having completed the qualification. Once completed the remaining 20% is applied.

Variation - Exceed schedule cap

When a student withdraws from training under a program other than Apprentice and Trainee Training Fund, they may be replaced by another student within 3 months of the program commencing. This can result in the total funded amount for the program being exceeded. A negative variation will be made against student/s to reduce payment for the program down to the total amount funded (the schedule cap).

Variation - Exceed schedule places

Under Apprentice and Trainee Training Fund funding, when more students are reported against a PCID than places available, the newest reported students will not be funded.

Variation - Complete qualification

Student reported as complete. Where calculated payment is less than funded amount, a variation will be made against the student to pay up to the adjusted cap.

This situation occurs where nominal hours for units undertaken are less than qualification nominal hours.

Variation - Withholding Final Payment

Where a student reaches the maximum hours nominated in the agreement, before completing the qualification/MUSS, the price paid will not exceed 80% of the full subsidised price. The final 20% will be paid once the student completes the appropriate amount of units.

Payment Calculation

Validated data with payable outcomes will be processed for payment based on the nominal hourly rate.

- Total price/funded hours = hourly rate. E.g. Total price \$3,000.00 / 300 hours = \$10 per hour
- Unit hours x hourly rate = price paid for competency. E.g. Unit = 15 hours x \$10 per hour = \$150 paid for unit.

Payment Processing finalised

Once approved, payment details are forwarded to Department of State Growth Finance Branch for processing. Once processed, remittance advice will be forwarded, according to the method of contact on file.

New and current RTOs must ensure that any changes to bank details, contact person and method of contact are reported to the finance area of the Department of State Growth.

To update any of your RTO details please contact:

- email: finance@stategrowth.tas.gov.au or
- phone: 03 6165 5653.

Skills Tasmania will send an e-mail with detailed payment reports to the person who submitted the data to Skills Tasmania. There will also be reports which may require action prior to the next month's submission. These items should be attended to without delay.

Minimum payment

If data generates a payment less than \$20, the amount will not be paid but will be allocated to the next data submission/payment.

Negative balance

If data generates a negative balance and no further training activity is taking place, Department of State Growth will invoice the RTO for repayment.

Miscellaneous

Outcome identifier — national code 85 — Not yet started

This outcome can be used to report enrolled subjects and planned subjects.

Training activity listed with this code in an AVETMISS submission will not be included on USI transcripts and not included in any national reports from NCVER

Scenario	Outcome identifier — national	Activity end date	Comment
Enrolled but not yet commenced	85 — Not yet started	Expected end date (in the future year/s)	Use this outcome instead of 70 — Continuing activity to report enrolled subjects.

When the student commences training in the unit the outcome can be changed to 70 and the commencement date added.

Unused places against Purchasing Contract Identifier (PCID)

If there are approved funding agreements that will not be used within the prescribed timeframe, it is suggested that the RTO contacts Skills Tasmania to advise them accordingly. If the Payment Summary Report has three sheets, unused funding agreements can be found on Sheet 2.

Reporting a Withdrawn (40) Outcome

50% payment applies to withdrawn units. Skills Tasmania reserves the right to withhold payment and request evidence of training activity for withdrawn units. Skills Tasmania also reserves the right to contact the student directly to discuss their learning experience.

For a unit to be reported as withdrawn, evidence of training activity must be available.

As defined by the AVETMISS standard, training activity involves some form of learning at the unit level. Enrolment and induction sessions do not constitute “training activity”. For further information on acceptable audit evidence to verify participation, refer to the ‘non-financial audit guidelines - NCVER’, which can be found online.

Reporting a not competent (30) Outcome

The AVETMISS Standard definition for an outcome 30 – competency not achieved/ fail is:

“The client has attempted all of the requirements for the assessment and has been assessed as not competent, or as not satisfying one or more of the requirements for the Unit of Competency or module. For example, this code would apply if a client attempted ten of ten required assessments and was assessed as not competent in one or more of the assessments. However, if a client had only attempted nine of the ten assessments, this code would not be used as the client must attempt all of the assessments in order to receive a ‘Competency not achieved/fail’ code.”

This outcome is funded at 100%. Care must be taken when awarding this outcome, as it must meet AVETMISS Standards. This outcome can only be reported when the student has been assessed and the assessment outcome is that the student is not competent in the unit. It is a final result and not to be used if the student will be continuing to attempt to reach competency.

“Qualification” and “Unit of Competency/module”

As part of the changes that took place with AVETMISS 7.0 the term “qualification/course” was changed to “program” and the term “module/Unit of Competency” changed to “subject”.

These changes came into effect with the introduction of registered Skill Sets. Skills Tasmania will continue to refer to units and qualifications and reports provided by Skills Tasmania will remain using terms ‘unit’, ‘qualification’ MUSS will be included in reference to ‘qualification’.

Auditing

RTOs are reminded that they are obligated to provide correct and up-to-date data and that commencement for a unit must only be reported where training activity has taken place.

Delivering a resource to a student doesn’t constitute ‘training activity’ and funding will not be applied to this scenario.

Any training reported is subject to audit by Skills Tasmania, NCVER and ASQA. Documentation with evidence of training activity must be available for any activity reported, this includes withdrawn outcomes.